

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2002-0089

First Named Inventor : Katsumi OOMORI et al.

Title: POSITIVE-WORKING CHEMICAL-AMPLIFICATION  
PHOTORESIST COMPOSITION

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2. ☐ Small Entity Status is hereby asserted.

## Specification

[Total Pages: 20]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

Drawing(s) (35 USC 113)

[Total Sheets: ]

## Oath or Declaration

[Total Pages: 3]

- a.1. ☐ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other Claim of Priority Under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/291,116

Prior Application Information: Examiner S. Lee

Group Art Unit 1752

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

Matthew Jacob  
Registration No. 25,154

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

January 31, 2002

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of  
Katsumi OOMORI et al.

Serial No. [NEW]

Filed January 31, 2002

POSITIVE-WORKING CHEMICAL-  
AMPLIFICATION PHOTORESIST  
COMPOSITION  
(Rule 1.53(b) Divisional of Serial No.  
09/291,116, Filed April 14, 1999)

Attn: Application Branch

Attorney Docket No. 2002-0089

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0976

CLAIM OF PRIORITY UNDER 35 USC 119

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Applicants in the above-entitled application hereby claim the date of priority under the  
International Convention of Japanese Patent Application No. 10-113586, filed April 23, 1998, as  
acknowledged in the Declaration of this application.

A certified copy of said Japanese Patent Application is of record in parent application  
Serial No. 09/291,116, filed April 14, 1999.

Respectfully submitted,

Katsumi OOMORI et al.

By Matthew Jacob  
Matthew Jacob  
Registration No. 25,154  
Attorney for Applicants

MJ/pjm  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
January 31, 2002

1059210  
01/31/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10978 U.S. PRO  
10/059210  
01/31/02

In re application of

Katsumi OOMORI et al.

Serial No. [NEW]

Filed January 31, 2002

POSITIVE-WORKING CHEMICAL-  
AMPLIFICATION PHOTORESIST  
COMPOSITION

(Rule 1.53(b) Divisional of Serial No. 09/291,116,  
Filed April 14, 1999)

Attn: Application Branch

Attorney Docket No. 2002-0089

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$ 740.00 to cover Patent Office fees relating to filing  
the following attached papers:

Rule 53(b) application ..... \$ 740.00

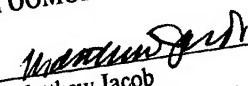
A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of  
Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment  
associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of  
deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Katsumi OOMORI et al.

By

  
Matthew Jacob

Registration No. 25,154  
Attorney for Applicants

MJ/pjm  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
January 31, 2002

[Check No. 48635]  
2002-0089